M/V Alucia and the Dalio Ocean Initiative

Rob Munier Vice President Woods Hole Oceanographic Institution

> Vincent Pieribone Chairman Dalio Ocean Initiative

- Historical Perspective Rob
- Dalio Ocean Initiative Vincent
- Discussion



Ray Dalio

Founder and Principal, Bridgewater Associates Dalio Foundation, Ray and Barbara Dalio Founder, Dalio Ocean Initiative

- Philanthropist
- Ocean enthusiast and advocate
- Ideas into action

M/V Alucia

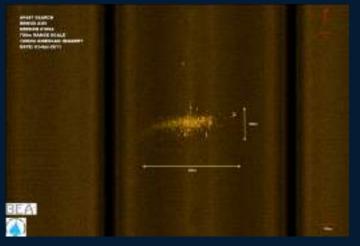


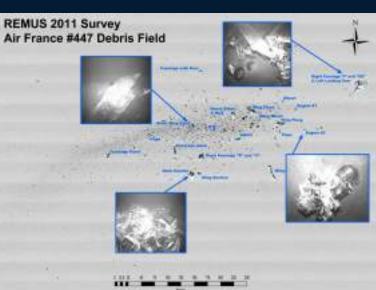
M/V *Alucia* Ex-*Nadir* 56 m 1300 tons 42 berths

Initial Link

Air France Flight 447









Key Milestones

2011

Acquisition of Alucia 1st Alucia refit

2012

First Mission – Giant Squid WHOI management of Alucia

2013

Alucia Productions founded Acquisition of REMUS 6000 Acquisition of Umbra

2014

REMUS lease executed WHOI management of Umbra

2015

Acquisition of VPR Alucia bareboat charter Dalio Ocean Initiative established

2016

Acquired Volstad Surveyor Sold Umbra

An Evolutionary Process

- Create a credible oceanographic capability through investments and partnerships
- Focus on science and exploration
- Create a capability to address awareness issue
- Add media element
- Launch missions that meet a high science and media bar
- Create integrated solution

Participate and enjoy the process along the way

M/V Alucia - Operations

Commercial Arrangement

Owned by Beta Maritime (an SPE) Operated by WHOI under bareboat charter Charter revenues go to WHOI Capital improvements paid by BM as approved



Submersibles



HOV Triton3 person1000 mTop loadPlatform for HD imagery



HOV Deep Rover 2
2 person
1000 m
Bottom load
Platform for science and imagery

Helicopter



Dive System







Alucia Mission Control







Fabricate new sphere for DR 2 submersible 2014



Provide motion compensated oceanographic winch system 2013

Projects



M/V Alucia in dry dock, Bay Ship & Yacht, Alameda, CA 2015



Engineer, procure and install soot elimination system 2013

REMUS 6000

Commercial Arrangement

Owned by Dalio Foundation Managed by WHOI under lease agreement Annual O&M fee paid to WHOI Project revenues go to WHOI Use Fee paid to DF per operational day Capital improvements paid by DF as approved

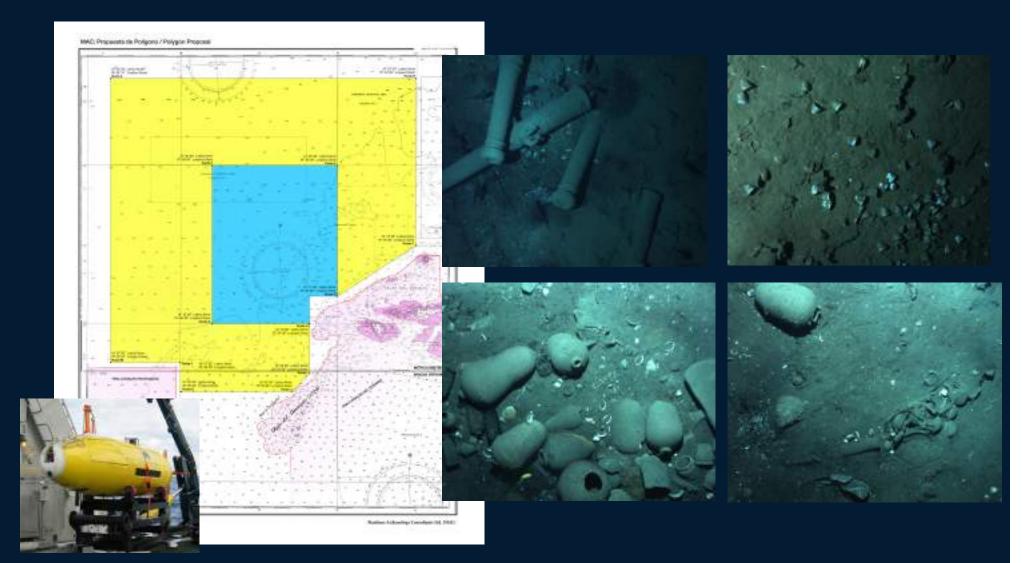
Projects

Deep bathymetric surveys Searches



Remus 6000

Spanish Galleon San Jose



Video Plankton Recorder

Commercial Arrangement

Owned by Dalio Foundation Managed by WHOI under no-cost lease Charged at cost; no fee for use Capital improvements paid by DF as approved Grant for development of next gen VPR

Projects

Trans-Pacific Tropical Atlantic



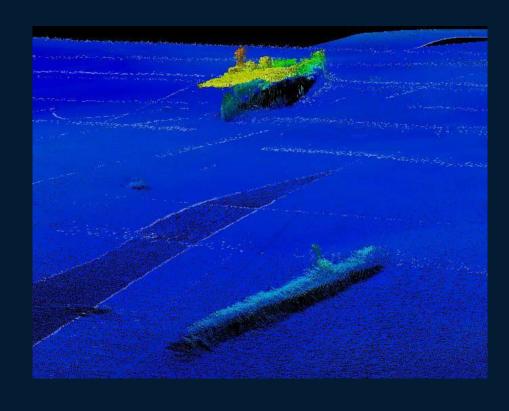




Alucia

Operational Summary

Science missions Media Missions Private Missions Total	26 15 <u>8</u> 49
IUtai	43
Shipyard Periods	4
Maintenance periods	2
Crossings of the Pacific	2
Crossings to Antarctica	3
Countries visited	20



US Japan Micronesia Palau Papua New Guinea Indonesia Fiji Costa Rica Panama Mexico New Zealand Solomon Islands Australia Vanuatu French Polynesia Easter Island Chile Antarctica Uruguay Brazil





Vessel Operation Areas



Research



Hughen and Apprill Micronesia 2013



Moore New Zealand

2013



Woods Hole Oceanographic INSTITUTION

Galapagos

2015

Research



Buesseler et al

2015



Whale Sharks, Galapagos Pretoma



Bikini

Jenouvrier et al Antarctica 2015-2016 Woods Hole Oceanographic INSTITUTION



Pineda et al Panama

2015

Technology



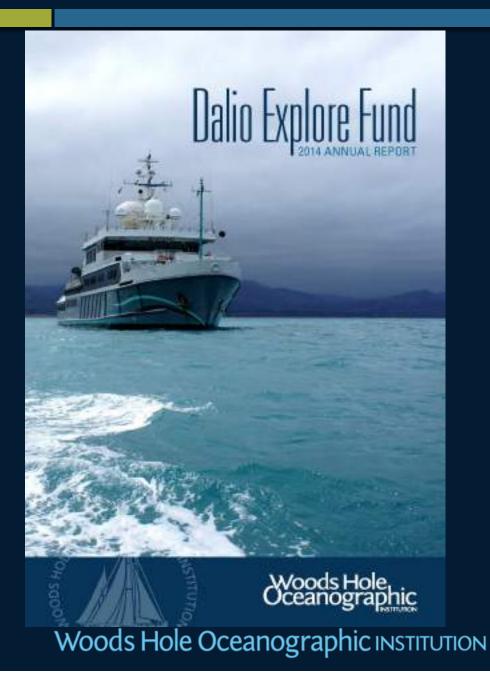
Nereid Hybrid Tether Optical Communications CPICS







Dalio Explore Fund



Dalio Explore Fund REMUS 6000 Video Plankton Recorder

2015 ANNUAL REPORT

Woods Hole, Oceanographic

Peer Reviewed Publications

Published papers by WHOI scientists regarding Ballo, Dalio Ocean Initiative, Dalio Family as of 6/20/17

- Neave, M. J., Rachmasati, R., Xun, L. P., Michell, C. T., Bourne, D. G., Appril, A., & Voolstra, C. R. (2017). Differential specificity between closely related corals and abundant Endozoicomonas endosymbionts across global scales. *Jame Journal*, 17(1), 186-200. doi: 10.1035/ismej.2016.95
- MoNaly, S. P., Parsons, R. J., Santoro, A. E., & Apprill, A. (2017). Multifaceted impacts of the story coral Portes astreoides on picoplankton abundance and community composition. Limnology and Oceanography, 62(1), 217-234. doi: 10.1002/inc.10389
- Barkley, H. C., Cohen, A. L., McCorkle, D. C., & Golbuu, Y. (2017). Mechanisms and thresholds for pH tolerance in Palau corals. *Journal of Experimental Manhe Biology and Ecology*, 489, 7-14. doi: 10.1016/j.jembe.2017.01.003
- Work, T. M., Aeby, G. S., & Hughen, K. A. (2016). Gross and Microscopic Lesions in Corals from Micromasia. Veterinary Pathology, 53(1), 153-162. doi: 10.1177/0300985815571669
- Pineda, J., Cito, W., Starozak, V., Govindatajan, A. F., Guzman, H. M., Girchar, Y., Hoteman, R. G., Churchill, J., Singh, H., & Rakton, D. K. (2016). A crab swarm at an ecological hotspot: patchiness and population density from AUV observations at a coastal, tropical seamount. *Peorl.* 4. doi: 10.7717/peerj.1770
- Brandon, C. M., Woodruff, J. D., Orton, P. M., & Donnelly, J. P. (2016). Evidence for elevated coastal vulnerability following large-scale historical cyster bed harvesting. *Earth Surface Processes and Landforms*, 41(6), 1136-1143. doi: 10.1002/eap.3931
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- Donnelly, J. P., Hawkes, A. D., Lane, P., MacDonald, D., Shuman, E. N., Toomey, M. R., van Hengstum, P. J., & Woodruff, J. D. (2015). Climate forcing of unprecedented intense-humicane activity in the last 2000 years. Earths Future, 3(2), 49-65. doi: 10.1002/2014EF000274
- Brandon, C. M., Woodruff, J. D., Donnelly, J. P., & Sullivan, R. M. (2014). How Unique was Humbane Sandy? Sedimentary Reconstructions of Extreme Flooding from New York Harbor. Scientific Reports, 4. doi: 10.1038/srep07366
- Aeby, G. S., Work, T. M., & Hughen, K. A. (2014). The first cyanobacterial infection of crustose coratine algae discovered on the reefs of Pohnpel, Micronesia. *BULLETIN OF MARINE SCIENCE*, 99(3), 873-874. doi: 10.5343/bms.2014.1018
- Barkley, H. C., Cohen, A. L., Golbuu, Y., Starczak, V. R., DeCarlo, T. M., & Shamberger, K. E. F. (2015). Changes in coral reef communities across a natural gradient in seawater pH. Sol Adv. 1(5), e1500325. doi: 10.1128/sciadv.1500325
- Bowen, A. D., Jakuba, M. V., Fan, N. E., Ware, J., Taylor, C., Gomez-Ibanez, D., Machado, C. R., & Pontbriand, C. (2013). An un-dethered ROV for routine access and intervention in the deep sea.
- Breier, C. F., Pike, S. M., Sobesta, F., Tradit, K., Breier, J. A., & Buesseler, K. D. (2016). New applications of PMFC-PAV resin for broad scale monitoring of radiosesium following the Fukushime Dai-John nuclear disaster. Paper presented at the 10th International Conference on Methods and Applications of Radioanstytical Chemistry (MARC), Kalua Kona, HI.
- Pausata, F. S. R., Emanuel, K. A., Chiacchio, M., Diro, G. T., Zhang, Q., Sushama, L., Stager, J. C., & Donnelly, J. P. (2017). Tropical cyclone activity enhanced by Sahara greening and reduced dust emissions during the African Humid Period. Proc Natl Acad. Sci U.S.A. doi: 10.1073/pnas.1619111114
- Weber, L., DeForce, E., & Appril, A. (2017). Optimization of DNA extraction for advancing coral microbiota investigations. MICROBIOME. 5(ARTN 18). doi: 10.1188/s40188-017-0229-y
- Barkley, H. C. (2016). A scientific transvork for evaluating coral reef resilience to climate change. (Ph.D.), Massachusetts Institute of Technology and the Woods Hole Oceanographic Institution. Retrieved from https://hdi.handie.net/1912/6427

Woods Hole O





BBC NHK Atlantic Productions Alucia Productions MFF Silverback

Woods Hole Oceanographic INST



Three Thumbs Up

- □ Good science
- □ Good media
- Economic sustainability

"Three Thumbs Up" Missions

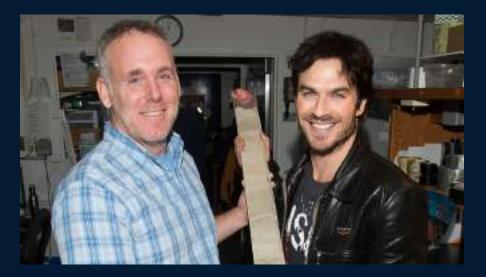


Giant Squid

Japan



Bioluminescence AMNH Solomon Islands



NHK

Years of Living Dangerously Bahamas, Turks & Caicos, Haiti Woods Hole Oceanographic INSTITUTION

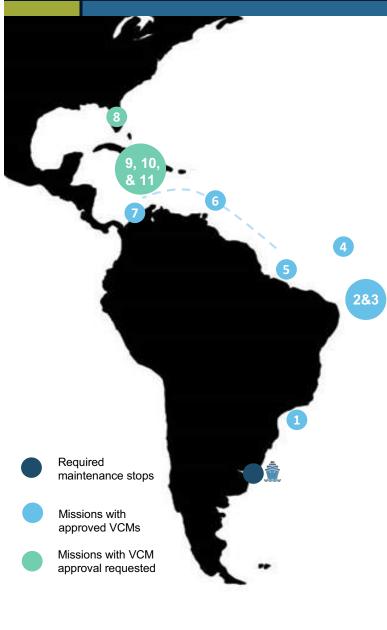


Documentary

Great Barrier Reef

David Attenborough

2017 Vessel Mission Partnership Summary



1.	Contractual breach CNN with Phillipe Cousteau – exploring	
	mission ontions later in year	

Location	Dates	Mission	Media Partner	Science
Montevideo, Uruguay	Mar - Apr	Maintenance & ABS Survey	N/A	
1. Sao Paulo Coast, Brazil	Apr 24 - May 5	Bioluminescence Discovery		erican Museum atural History
2. Fernando de Noronha, Brazil	May 15 - 28	Spinner Dolphins & Cookiecutter Sharks		
3. Fernando de Noronha, Brazil	June 1 -14	Spinner Dolphins	TBD ¹	
4. St. Paul's Rock, Brazil	June 18 - Jul 9	Hydrothermal Vents	CALIFORNIA ACADEMY OF SCIENCES	tore AND GRAG MORA MARKET TUTO
5. Mouth of Amazon, Brazil	Jul 13 - 26	Coral Discovery & Exploration		
6. Transit from the Amazon to Cartagena	Jul 30 – Aug 10	VPR II Transit	N/A	of creating fraction in the second se
7. Cartagena, Colombia	Aug 14 – Sep 3	Deep-sea Exploration & Cl Celebrity Event		OCEANOGRAPHIC HEITUTTO
8. Florida	Sep 13 - 24	Lophelia Reefs	SILVER	
9. Cuba	Oct 12 - 27	RTD trip	N/A	Approval Requested
10. Cuba	Oct 31 – Nov 11	Gardens of the Queen		ocennogrammer and the second
11. Cuba	Nov 12 – 24	Bioluminescence		Aerican Museum Natural History

Setting the Stage

Access to resources
A new model
Nimble

 Investment in Infrastructure
 O&M

An opportunity for partnerships